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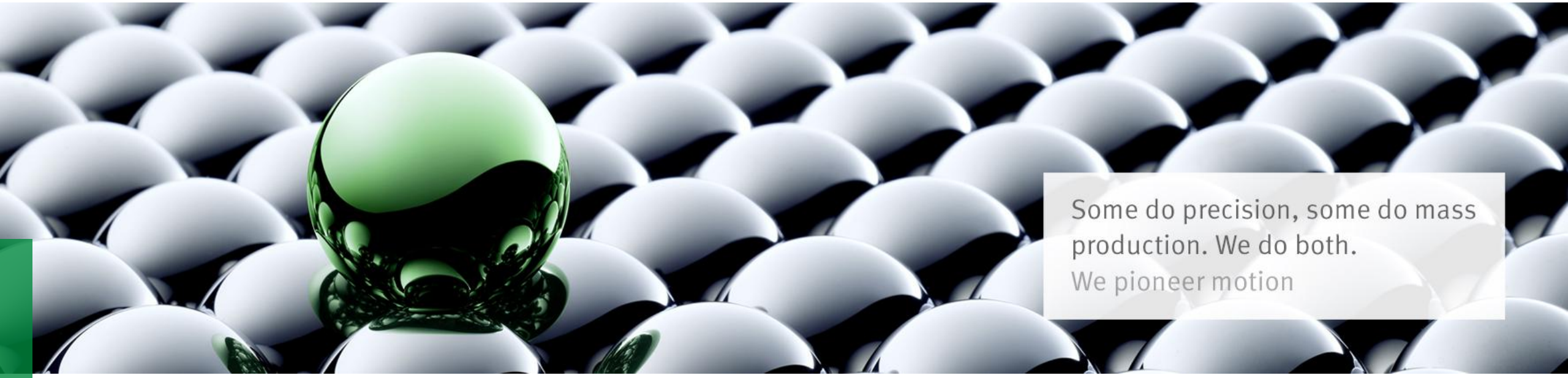

Process instruction on Prohibited and Declarable Substances S132030-1

2025, Version AE – Gerald Brandl, ST/SWE-CCL

We pioneer motion

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Structure of S 132030-1

1. Standard with complete description

2. Appendix A

- Declaration of Conformity
- List of legal requirements
- List of purchased products
- List of substances

3. Appendix B

- IMDS Guideline for Suppliers

**The last version of this standard is valid since 2024 and is now also available for suppliers on the Internet.
Therefore, please use only the new version from now on.**

This process instruction will focus on Annex A, because this is where most problems occur.

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Within the framework of Quality Assurance Agreements (QAA), e.g.:

- QAA S 296001 Quality Assurance Agreement with Production Material Suppliers
- QAA S 296002 Quality Assurance Agreement with Tooling Suppliers
- QAA S 296003 Quality Assurance Agreement with Packaging Material Suppliers
- QAA S 296004 Quality Assurance Agreement with Aerospace-Suppliers

will be checked at Schaeffler according to S 132030-1 all

- Purchased products,
- Chemicals,
- Mixtures,
- Products,
- Raw materials and
- Packaging materials

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

if they contain

- prohibited,
- declarable or
- restricted substances.

Checking according to S 132030-1 shall ensure that

- employees are not exposed to critical substances.
- Schaeffler products do not contain any critical substances (or, if necessary, these can then be declared to Schaeffler customers – e. g. lead in brass-cages) and Schaeffler thus meets worldwide legal requirements.

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Short instruction for filling in the declaration of compliance, annex A of the substance prohibition standard S 132030-1

1. **Select the applicable category A, B or C for the product:** Quality | Schaeffler Germany
   Prohibited and declarable substances, Applicable documents
   Note: Language can be changed on top of this side: DE or EN

2. **Fill in data on the product:** Top left in the declaration of conformity
   If the Declaration of Conformity is completed for more than one product, please enter **"Table"** here for Product and complete the separate **"List of purchased products"** spreadsheet.

3. **Fill in data on the supplier:** Top right in the declaration of conformity
   If the Schaeffler supplier number is not known, please contact Schaeffler Purchasing.
   Please do not overwrite the line **Schaeffler** signature **(will be filled in by Schaeffler)!**

4. **Schaeffler status:** Directly below the product data in the declaration of conformity
   Schaeffler Status will only be filled by Schaeffler.

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Short instruction for filling in the declaration of compliance, annex A of the substance prohibition standard S 132030-1

5. **Filling of the declaration of conformity:** Directly below the category selection – Filling in the declaration of conformity: Depends on the respective **category A, B** or **C** for the product. See link: S_132030-1

- **If "yes" is selected for "Is the requirement valid for the purchased product", the following two boxes must be filled.**
- **If "no" is selected for "Is the requirement valid for the purchased product", the following two boxes needn´t be filled.**

  - **Point 1-3** must be completed **for all products: Category A, B** and **C**
    - Point 1, Schaeffler generally prohibitions
    - Point 2, Schaeffler substance restrictions
    - Point 3 (4 sub-points): REACH EU, POP Regulation, TSCA and Applicable Laws
  - **Point 4** must be completed **for all products** that remain on Schaeffler sales products **in the automotive sector: Category A**
    - Point 4, ELV (End of Life Vehicles)
  - **Point 5** must be completed for **all products** which remain on Schaeffler sales products **in the automotive and non-automotive (industrial) sectors: Category A**
    - Point 5, GADSL (Global Automotive Declarable Substance List)
  - **Point 6** must be completed for **all products** that remain on Schaeffler sales products **in the non-automotive (industry) sector: Category A**
    - Point 6, RoHS (Restriction of Certain Hazardous Substances)

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Short instruction for filling in the declaration of compliance, annex A of the substance prohibition standard S 132030-1

5. **Filling in the declaration of conformity** – Depends on the respective **category A, B** or **C** for the product. See Link: S_132030-1

- **Point 7** must be completed for **all chemicals and mixtures. Category A and Category B**
  - Point 7, Further requirements for chemicals and mixtures
- **Point 8** must be completed for **all packaging materials supplied to Schaeffler customers: Category C**
  - Point 8, Further specifications for packaging materials used by Schaeffler for packaging and delivered to the customer.
- **Point 9** must be completed for **all purchased products** that remain **on Schaeffler sales products in the Railway division. Category A**
  - Point 9, Rail Industry Substance List (RISL) by UNIFE (Union of European Railway Industry)
- **Point 10** must be completed for **all purchased products** that remain **on Schaeffler sales products in the Aerospace division. Category A**
  - Point 10, Aerospace and Defense Declarable Substances List (AD-DSL), which is created and maintained by International Aerospace Environment Group (IAEG)

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Short instruction for filling in the declaration of compliance, annex A of the substance prohibition standard S 132030-1

6. Declaration in Schaeffler format – Alternative to IMDS: Directly below the declaration of conformity block

- If substances have to be declared, they must be entered at this point:
  Substance name, CAS number, concentration and, if applicable, remarks (lead is given as an example).

  For chemicals and mixtures, all substances declared in the material safety data sheet (MSDS) must be stated.
  Water does not have to be specified.

  This input is not required at this point if a declaration is made via IMDS.

7. List of substances: Separate spreadsheet

- Here are listed prohibited and declarable substances which must be complied with by the product.
- In addition, the requirements listed apply (e. g. REACH EU, GADSL, RoHS...).
- **Since January 2023 all PFAS (Per- and Polyfluorinated Alkyl Substances) must be declared.**

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Short instruction for filling in the declaration of compliance, annex A of the substance prohibition standard S 132030-1

8. List of legal requirements: Separate spreadsheet

- Here the supplier must enter which laws his product complies with.
  Column **A**: The law concerned e. g. RoHS,
  Column **B**: The corresponding country law,
  Column **C**: The country for which this law applies,
  Column **D**: Here must be filled whether this law is valid for the product (yes/no), **each line must be filled with yes or no** (dropdown)**!**
  - **If "yes" is selected for column D, then column E must be filled.** Compliant (yes/no)
  - **If "no" is selected for column D, then no further columns (E-G) need to be filled.**

  Column **F:** "X" must be entered here if it is not known whether this law applies to the product and/or whether the product satisfies this law (then columns D and E do not need to be filled out).

  Column **G:** This column is optional.
- **Note: If the product does not comply with any laws (i. e. no entries or everywhere "no"), the product will no longer be released!**

9. List of purchased products: Separate spreadsheet

- This spreadsheet must be completed if the declaration of conformity applies to more than one product at the same time.
- Some entries from the declaration of conformity spreadsheet are automatically transferred to this spreadsheet; the missing data must be entered.

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- **3.2** Information about supplier
- **3.3** Valuation by Schaeffler
- **3.4** Selection of category A, B, A and B or C
- **3.5** Completing the Conformity Declaration
- **3.6** Schaeffler reporting format
- **3.7** Examples

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

First an overview of the declarations of conformity A, B and C, and their selection:

Declarations of Conformity A, B, C and Selection of Declaration of Conformity

See Link: Quality | Schaeffler Germany

- The process instruction goes through the declarations of conformity step by step, because there were already problems with the old version when filling them in correctly. The selection of the categories and the forms of the declarations of conformity are designed differently and material compliance requirements are partly supplemented.
- The supplier must fill in the corresponding declaration of conformity **completely and correctly!**
- At the right side of the Conformity Declaration we added an explanation (red colored), that helps the suppliers to fill the Conformity Declaration correctly.
- **We need the filled Conformity Declaration from the supplier in Excel and PDF format!**
  - **Excel: Material Compliance must evaluate the Conformity Declaration and must document this evaluation (e. g. for audit).**
  - **PDF: To avoid changes of the original document of the supplier (e. g. with signature of the supplier with or without stamp and afterwards scanned).**

Extract from S 132030-1, page 3, 4 Supplier information, list of purchased products: Because of the large number of its suppliers, Schaeffler must rely on an automatic evaluation therefore the completely filled in, signed and scanned supplier's conformity declaration must always be returned to Schaeffler as a PDF document together with the completed list of legal and official requirements. In addition, the completed Excel document should also be returned.
Supplier information can only be accepted if at least the "Basic information" and "Declaration of conformity" zones in the Excel template are completed fully and correctly, and if the document is duly signed.

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Information about product		
Information for a single product (complete as far as possible). If this conformity delaration is valid for a few products, please fill the complete information in table “List of purchased products” (see enclosure 4) and fill in here: “Table”		
Supplier	Name of the product	
	Material number	
Schaeffler	Name of the product	*Normally filled in by Schaeffler!*
	Material number (13 digits)	
IMDS-ID-No.	*(if existing)*	

Usually filled in for a single product. If the information applies to several of the supplier's products, then enter “Table” under “Product designation” and fill in the “List of purchased products” (separate spreadsheet).

Description of the delivered product – (e. g. taken from the **M**aterial **S**afety **D**ata **S**heet (MSDS) for chemicals and mixtures)

Material number of the supplier

Schaeffler designation of the delivered product – Could be different from the supplier

Schaeffler SAP number (13 digits: xxxxxxxxx-0000)

Applies to products contained in automotive parts (International Material Data System)

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Information about supplier		
Supplier/Company		
Schaeffler-ID-number		
Contact person	Name	
	Email	
	Phone-No.	
Conformity declaration drawn by	Name	
	Date	
Signature *(Stamp where applicable)*		

- e. g. information from the safety data sheet (MSDS)
- Every supplier listed with Schaeffler has a **Schaeffler ID number** (from the purchasing department); if the supplier is actually not listed, he must fill in here **none.**
- **Contact person** at the supplier
- **Email address** of the contact person at the supplier
- **Telephone number** of the contact person at the supplier
- **Name** of the person at the supplier who made the Declaration of Conformity and **when (date)**
- **Signature** of the person at the supplier who issued the Declaration of Conformity (and **company stamp**, if applicable); **Electronic signature is also possible!** For PDF-document: Signature and afterwards scanning!

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Schaeffler Status (assessed by Material Compliance)	Date		**Schaeffler** *Signature (electronic signature where applicable)*	*Please leave blank!* *Is only filled by Schaeffler!* *Do not overwrite e. g. with signature or stamp of the supplier!*
	Name			
	Status *(in assessment / released / not released)*			

The department **Material Compliance** of Schaeffler assesses whether the declaration of conformity Is ok or not.

Date of assessment:
Name of the person at MC who sets the status:

- Empty = Determination is still ongoing
- Released = Declaration of Conformity i. O.
- Not released = Eclaration of Conformity n. i. O.

Signature of the person from the Material Compliance area who carried out the assessment, **electronic signature is also permissible.**

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Selection of the corresponding Category for the Conformity declaration: See Link: Quality | Schaeffler Germany

1. **Category A** must be selected for purchased products, **which remain in oder on saleable Schaeffler products.**
2. **Category B** must be selected for purchased products, **which are used in manufactoring processes and maintenance, but do not remain in saleable Schaeffler products.**
3. **Category C** must be selected for purchased products, **which are used as packaging for Schaeffler sales products and are delivered to the customer.**

If necessary, the corresponding category must be clarified with the Schaeffler contact (e. g. local purchasing, substance manager or application technology).

If categories A and B apply to a purchased product (e. g. corrosion protection oil is used as an intermediate preservative and as a final preservative), then the higher-value category (**category A** in the example) must be selected.

If categories A and C apply to a purchased product (e. g. **VCI paper** is used **as intermediate and final packaging with corrosion protection**), then the higher-value category (**category A** in the example) must be selected.

If categories B and C apply to a purchased product (e. g. **VCI paper** is used **as intermediate packaging with corrosion protection**), then the higher-value category (**category B** in the example) must be selected.

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Valid for Category A, B and C

No.	Requi-rements apply to	Material Compliance (MC) Requirements	Is the requirement valid for the purchased product? *yes/no*	Is the purchased product compliant? *yes/no*	Declaration IMDS, Schaeffler-Format or no declarable substances
1	All purchased products **worldwide**	**Schaeffler generally prohibited substances,** • CLP Directive (EC) No. 1272/2008 • United Sates H.R. 4173 – “Conflict Materials” resp. “Dodd-Frank-Act”: Section 1502 of H.R. 4173	Yes		
2		**Schaeffler Stoffbeschränkungen,** • CLP Verordnung (EG) Nr. 1272/2008 • United States H.R. 4173 – "Conflict Minerals" bzw. "Dodd-Frank-Act": Section 1502 of H.R. 4173	Yes		

Both requirements (No. 1 and No. 2) are valid for **all purchased products**

For No. 1 and No. 2 **„yes“** must be chosen *(dropdown)*

Choose „yes“ or „no“ *(dropdown)*

IMDS, Schaeffler-Format or No declarable substances *(dropdown)*

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Valid for Category A, B and C

No.	Requi-rements apply to	Material Compliance (MC) Requirements	Is the requirement valid for the purchased product? *yes/no*	Is the purchased product compliant? *yes/no*	Declaration IMDS, Schaeffler-Format or no declarable substances
3	All purchased products **worldwide**	**3.1 REACh Regulation (EU) Nr. 1907/2006**	Yes		
		3.2 POP Regulation (EU) No. 2019/1021 on persistent organic pollutants	Yes		
		3.3 Toxic Substances Control Act of 1976 (TSCA) All chemicals, mixtures, materials and articles supplied to and by Schaeffler must satisfy applicable chemical laws, rules, regulations and recommendations valid in the country of usage (e.g. REACh, CLP, TSCA). Therefore, Schaeffler requests for your full cooperation in Schaeffler's efforts to legally comply with (applicable) Global Chemical regulations related but not limited to chemicals Registration, Notification, Restriction, Prohibition, Hazard Classification, Labeling, Packaging.	Yes		
		Select confirm applicable laws *See Appendix A "List of legal requirements".* • Complete the list to the best of your knowledge • If laws do not apply, enter **no** in column "D" • If laws are not known, enter **X** in column "F"	Yes		

Requirements No. 3 is valid for **all purchased products**

For No. 3 **„yes"** must be chosen *(dropdown)*

Choose „yes" or „no" *(dropdown)*

IMDS, Schaeffler-Format or No declarable substances *(dropdown)*

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Only valid for Category A: Purchased products, which remain in or on saleable Schaeffler products.

No.	Requirements apply to	Material Compliance (MC) Requirements	Is the requirement valid for the purchased product? *yes/no*	Is the purchased product compliant? *yes/no*	Declaration IMDS, Schaeffler-Format or no declarable substances
4	All purchased products for **Automotive industry**	**ELV Directive 2000/53/EG on End-of-Life Vehicles**			

Must be filled in for all products used in the **automotive industry.**
ELV (**E**nd of **L**ife **V**ehicles)

Choose „yes“ or „no“ *(dropdown)*

Choose „yes“ or „no“ *(dropdown)*

IMDS, Schaeffler-Format or No declarable substances *(dropdown)*

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Only valid for Category A

No.	Requirements apply to	Material Compliance (MC) Requirements	Is the requirement valid for the purchased product? *yes/no*	Is the purchased product compliant? *yes/no*	Declaration IMDS, Schaeffler-Format or no declarable substances
5	All purchased products for **Automotive and Non-Automotive industry**	**Requirements of GADSL** (Global Automotive Declarable Substance List), **GADSL** should also be confirmed **for all purchased parts for Non-Automotive industry**			

Must be filled in for all products used in the **automotive industry.** It should also be confirmed for all products in the **non-automotive Industry**.

Choose „yes“ or „no“ *(dropdown)*

Choose „yes“ or „no“ *(dropdown)*

IMDS, Schaeffler-Format or No declarable substances *(dropdown)*

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Only valid for Category A

No.	Requirements apply to	Material Compliance (MC) Requirements	Is the requirement valid for the purchased product? *yes/no*	Is the purchased product compliant? *yes/no*	Declaration IMDS, Schaeffler-Format or no declarable substances
6	Alle purchased products for **Non-Automotive industry**	**RoHS Directive 2011/65/EU**			

Must be filled in for all products that are **not used in the automotive industry.**

Choose „yes“ or „no“ *(dropdown)*

Choose „yes“ or „no“ *(dropdown)*

IMDS, Schaeffler-Format or No declarable substances *(dropdown)*

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Valid for Category A and Category B: Purchased products, which are used in production processes and maintenance, but do not remain in in saleable Schaeffler products.

No.	Requirements apply to	Material Compliance (MC) Requirements	Is the requirement valid for the purchased product? *yes/no*	Is the purchased product compliant? *yes/no*	Declaration IMDS, Schaeffler-Format or no declarable substances
7	All **chemicals and mixtures**	**Further specifications for chemicals and mixtures** • For use in **manufacturing, maintenance and in Schaeffler products** • Does not apply to semi-finished products & products in general			

Must be completed for **chemicals and mixtures** that remain **in production, maintenance or on Schaeffler sales products.**

Choose „yes“ or „no“ *(dropdown)*

Choose „yes“ or „no“ *(dropdown)*

IMDS, Schaeffler-Format or No declarable substances *(dropdown)*

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Only valid for Category C: All packaging materials for Schaeffler customers

No.	Requirements apply to	Material Compliance (MC) Requirements	Is the requirement valid for the purchased product? *yes/no*	Is the purchased product compliant? *yes/no*	Declaration IMDS, Schaeffler-Format or no declarable substances
8	All **packaging materials, for Schaeffler customers**	**Further specifications for packaging materials supplied to Schaeffler customers** e.g. Packaging and Packaging Waste Directive 94/62/EC	Yes		

Must be filled in for **all packaging materials for Schaeffler customers.** **Exception is packaging that is returned to the supplier.** Packaging must meet the legal requirements in the respective countries so that it can be disposed of there.

Choose „yes“ or „no“ *(dropdown)*

Choose „yes“ or „no“ *(dropdown)*

IMDS, Schaeffler-Format, No declarable substances or Return to supplier *(dropdown)*

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Only valid for Category A

No.	Requirements apply to	Material Compliance (MC) Requirements	Is the requirement valid for the purchased product? *yes/no*	Is the purchased product compliant? *yes/no*	Declaration IMDS, Schaeffler-Format or no declarable substances
9	All purchased products for **Railway**	**Rail Industry Substance List (RISL)** by UNIFE (Union of European Railway Industry)			

Must be completed for **purchased products** that remain **in or on Schaeffler Railway sales products**.

Choose „yes“ or „no“ *(dropdown)*

Choose „yes“ or „no“ *(dropdown)*

IMDS, Schaeffler-Format, No declarable substances *(dropdown)*

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Only valid for Category A

No.	Requirements apply to	Material Compliance (MC) Requirements	Is the requirement valid for the purchased product? *yes/no*	Is the purchased product compliant? *yes/no*	Declaration IMDS, Schaeffler-Format or no declarable substances
10	All purchased products for **Aerospace**	**Aerospace and Defense Declarable Substances List (ADDSL),** which is created and maintained by International Aerospace Environment Group (IAEG)			

Must be completed for **purchased products** that remain **in or on Schaeffler Aerospace sales products**.

Choose „yes“ or „no“ *(dropdown)*

Choose „yes“ or „no“ *(dropdown)*

IMDS, Schaeffler-Format, No declarable substances or Return to supplier *(dropdown)*

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Declarable Substances According to actual List of Substances (S 132030-1)	**CAS Number** other Identification number – Only if CAS number is not available	**Quantity of substance**	**Unit according to List of Substances**	**Remarks*1**
Example *Lead (Blei)*	*7439-92-1*	*e. g. 2,0*	*% (w/w)*	*e. g. Brasscage*

Indication of the ingredient(s) to be declared.
In this example, lead, which is an ingredient in a brass cage.

Here in the example the CAS number of lead

Amount of the substance in the product.
In the example 2,0

Unit of quantity; Usually % (w/w), but also ppm, mg/l, mol/l... is possible

Indication of the product in which the ingredient to be declared is contained.
Only a (construction) part of the entire product may be affected (brasscage of a bearing).

*1 = Example for prohibited substance; Reason; Affected material is present in the product; RoHS or ELV exceptions; For chemicals and mixtures; Analytical method;

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Declarable Substances According to actual List of Substances (S 132030-1)		**CAS Number** other Identification number – Only if CAS number is not available	**Quantity of substance**	**Unit according to List of Substances**	**Remarks*1**
Example	*Lead (Blei)*	*7439-92-1*	*e. g. 2,0*	*% (w/w)*	*e. g. Brasscage*
	Methanol	*67-56-1*	*100*	*% (w/w)*	***Prohibited substance,*** *only released as carburising substance for use in heat treatment*

*1 = Example for prohibited substance; Reason; Affected material is present in the product; RoHS or ELV exceptions; For chemicals and mixtures; Analytical method;

- **Information is required** here **if no** alternative such as **IMDS or parts list declaration is available.**
- Here is the possibility to document,
  - why a **prohibited or declarable substance is nevertheless released** and
  - for **which application** the release applies.
- Here supplier can specify the **analytical method** used to determine the substance and the amount of substance, especially **if there are various methods** of analysis for this substance.

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Example of Conformity Declaration **Category A** for a **purchased part for a saleable Schaeffler product**

– Valid for EU and US

Select Example of Conformity Declaration Category A,
Spreadsheet: **Declaration of Conformity Cat. A**

S_132030-1

See Prohibited and declarable substances, Training documents and Examples, Example Category A

Example of Conformity Declaration **Category B** for a **mixture for production process**

– Valid for EU and US

Select Example of Conformity Declaration Category B,
Spreadsheet: **Declaration of Conformity Cat. B**

S_132030-1

See Prohibited and declarable substances, Training documents and Examples, Example Category B

Example of Conformity Declaration **Category C** for a **packaging material for Schaeffler customers**

– Valid for EU and US

Select Example of Conformity Declaration Category C,
Spreadsheet: **Declaration of Conformity Cat. C**

S_132030-1

See Prohibited and declarable substances, Training documents and Examples, Example Category C

Please note that in the case of preserved (metal-)purchased parts, the preservation must also be included in the declaration of conformity! Critical substances in the corrosion protection oil must be declared and avoided as far as possible (e. g. Ba)!

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Issue	List of legal and official requirements *(the listed regulations are accompanied by their amending regulations and annexes, at the time of comment); declarations of conformity apply to the main regulation, its amending regulations and annexes*	Country	Validity *(see drop-down menu)*	Compliant *(see drop-down menu)*	Not known	Comment
RoHS	*Directive 2011/65/EU of the European Parliament and of the Council of 8 June 2011 on the restriction of the use of certain hazardous substances in electrical and electronic equipment*	*EU*	*0*	*0*		

Abbreviation for the Directive

Exact name and edition of the directive;

RoHS = **R**estriction **o**f Certain **H**azardous **S**ubstances;

It applies to electrical and electronic equipment;

The above-mentioned directive applies to Europe (EU)

When a new edition of the guideline is published, it will be updated here.

Valid for **EU** (Europe)

Does this directive apply to the product?

yes/no

Does this product comply with this directive?

yes/no

– only if this Directive is valid for the product

Tick if it is not known whether the Directive is valid for this product

Comments for the product with regard to this Directive

The **0** in a field means that the entry is automatically taken over by the declaration of conformity.

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Country specific guidelines on RoHS

Issue	Comments for the product with regard to this Directive List of legal and official requirements (the listed regulations are accompanied by their amending regulations and annexes, at the time of comment); declarations of conformity apply to the main regulation, its amending regulations and annexes	Country
RoHS	Directive 2011/65/EU of the European Parliament and of the Council of 8 June 2011 on the restriction of the use of certain hazardous substances in electrical and electronic equipment	EU
	Act for Resource Recycling of Electrical and Electronic Equipment and Vehicles; Act No. 6319 –Resource Recycling	KR
	MIIT Order 32 together with GB/T 26572	CN
	e-waste (Management and Handling) Rules 2011 (India RoHS)	IN
	Electrical and electronic equipment that may contain hazardous substances: Restriction of the use of certain hazardous substances; TIS 2368-2551; 26.05.2008.	AP
	Act on the Promotion of Effective Utilization of Resources; 26.04.1991	AP
	Circular 30/2011/TT-BCT	VN
	Atık Elektrikli ve Elektronik Eşyaların (AEEE) Kontrolü Yönetmeliği (Turkish WEEE and RoHS-style Directives); 22.05.2012.	TR
	Law for Promotion of Effective Utilization of Resources in Japan (Japanese Recycling Law) of 2001 and the mandatory industry standard JIS C 0950 of 2008	JP
	EAEU TR 037/2016	EAEU

Must be filled at least **for the countries for which a release is requested.**

The more laws the product complies with, the more widespread and secure Schaeffler products can be sold!

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Example of product
Category A
– Valid for EU and US

Select Example of Conformity Declaration
Category A
(Spreadsheet: List of legal requirements)

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*Prohibited and declarable substances, Training documents and Examples, Example **Category A***

Example of product
Category B
– Valid for EU and US

Select Example of Conformity Declaration
Category B
(Spreadsheet: List of legal requirements)

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*See Prohibited and declarable substances, Training documents and Examples, Example **Category B***

Example of product
Category C
– Valid for EU and US

Select Example of Conformity Declaration
Category C
(Spreadsheet: List of legal requirements)

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*See Prohibited and declarable substances, Training documents and Examples, Example **Category C***

Please note that in the case of preserved (metal-)purchased parts, the preservation must also be included in the declaration of conformity! Critical substances in the corrosion protection oil must be declared and avoided as far as possible (e. g. Ba)!

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The labelling of these 3 fields is automatically taken over from the declaration of conformity.

List of purchased products

(supplement to the declaration of conformity)

Supplier/Company	**0**			**S 132030-1 – Actual version**	
Conformity Declaration created:	**Name**	**0**			
	Date	**0**			
Seq. No.	**Suppliers Material number**	**Schaeffler Material number** (SAP 13-digits)	**Material / Designation / Drawing number**	**IMDS ID-Number** (if applicable)	**Note / Contact person**

Continuing numbering of the products

Material number of the product of the supplier

Schaeffler-Material number, 13-digits SAP-number

Product designation and Drawing number, if applicable

Valid only for **Automotive products**

Additional Information

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Generic term, directive or law for the substances listed under this item

List of Substances

Seq. no.	Relevant substances	CAS number	Classification	Limit value	Remarks / legal background	Example applications / Example
1	**Schaeffler generally prohibited substances** *(applies to all products, chemicals, mixtures and also to packaging material)*					
	Substances of the following hazard classes: • Carcinogenicity, Carc. 1A, Carc. 1B (H350 et seqq.) • Germ cell mutagenicity, Mutag. 1A, Mutag. 1B (H241) • Reprod. toxicity, Repr. 1A, Repr. 1B (H360 et seqq.)	-	P	b)	Classification according to CLP Regulation (EC) No. 1272/2008	valid for chemicals and mixtures, **not valid for products**

Additional Information
No. 1 are Schaeffler basic prohibitions;
Further numbers follow

Prohibited or declarable substances
Here is a substance group without CAS numbers

No CAS number, because a whole substance group is affected

P = prohibited;
D = declarable

Threshold for the specified substance (group)
(b) see List of Substances – Footnotes

Directive or law applicable to this substance (group).
Here CLP Regulation (EC)

Additional information: E.g., examples of practical applications

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

List of Substances

Seq. no.	Relevant substances	CAS number	Classification	Limit value	Remarks / legal background	Example applications / Example
2	**all PFAS (Per- and Polyfluorinated Alkyl Substances)**	**-**	**D**	**> Impurity *)**	*important!*	**e. g. plastics, membranes, coatings, sealant, greases and oils**
7	**Copper and its compounds**	-	P	10 ppm	Prohibition only for metalworking fluids	In addition, the VKIS-VSI-IGM-BGHM substance list applies
7	**Benzotriazole**	95-14-7	D	a)	Allergic potential	E.g., cooling lubricants, anti-corrosion agents

*) > impurity means here that the substance must be declared if it is more than an impurity (<< 0,1 % or << threshold) or if it has been added intentionally.
Above threshold values for declarable substances, the substance must be declared.

2 = Schaeffler restrictions
7 = Further specifications...

Individual substances and groups of substances

CAS Number

P = prohibited;
D = declarable

Threshold

Limitation of a prohibition
Link to the **list of VSI lubricants**

Examples of use

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Basic Information

- All purchased products, chemicals and mixtures must meet the substance prohibition standard S132030-1!
- Therefore, all our suppliers must accept the substance prohibition standard S132030-1.
- Prohibited substances (P) are generally prohibited ≥ 0.1% or above the applicable threshold.
- **Prohibited substances (P) must always be declared < 0.1 % or below the applicable threshold (D)!**

Exemption

- In exemptional cases, prohibited substances may be released for specific purposes: E. g. methanol for heat treatment (carburisation process).

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

1. Where can the supplier find the standard S 132030-1?

See link: Quality | Schaeffler Germany

2. Contact persons at Schaeffler

- Responsible Schaeffler Purchasing for: **Schaeffler supplier number and formal filling out of declaration of conformity according to S 132030-1.**
- Application engineering at Schaeffler for: **Application of the supplied product at Schaeffler – Selection of the category (Requestor)**
- Material Compliance at Schaeffler for:
  - Technical questions regarding standard S 132030-1, MaterialCompliance@schaeffler.com
  - IMDS reporting (Appendix B), IMDS@schaeffler.com

3. MSDS (Material Safety Data Sheet)

MSDS must not be older than 2 years.

4. Does the supplier have to fill out the declaration of conformity according to S 132030-1 if he already makes an IMDS entry?

Yes, because this confirms the entire S 132030-1 and, above all, also communicates the legal requirements that the product fulfills.

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

5. Who receives the declaration of conformity according to S 132030-1 at Schaeffler?

Responsible Schaeffler Purchasing

6. Products for new developments

In order to avoid undesirable developments and to prevent the corresponding costs from arising in the first place, it must be ensured right from the start of a new development that the product meets the requirements of S 132030-1! **– Schaeffler basic requirement!**

7. Who, when and in which form must the supplier inform when the declaration for his product changes (e. g. new legal requirements – Thresholds)?

After **45 days** at the latest, the supplier must inform:

- The **responsible Purchasing at Schaeffler and**
- Department **Schaeffler Material Compliance,** MaterialCompliance@schaeffler.com
- In the form of a **new declaration of conformity for the product** according to S 132030-1 (Excel and PDF format), Annex A and/or IMDS declaration.

8. Which Version of the Standard must be applied?

Always apply the current version of S 132030-1, which is available on the Internet, currently version 2024.

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